FORM PTO-1449 (modified) Atty. М# Client Ref. To: U.S. Department of Commerce Dkt. No. (PW FORM PAT-1449) Patent and Trademark Office 057309-5022-US01 Applicant: David W. PASCUAL INFORMATION DISCLOSURE STATEMEN BY APPLICANT Appln. No.: 10/660,787 Filing Date: September 12, 2003 2 Group Art Unit: 1645 Page of Examiner: (Unassigned) Date: December 19, 2003 U.S. PATENT DOCUMENTS Sub Filina Date Name Class Examiner' Document Class Date MM/YYYY (Family Name of First Inventor) Number (if appropriate) Initials\* FS AR 5,972,707 10/1999 Roy et al 435 455 424 FS Brey et al 450 02/2001 BR |6,187,335 English Translation FOREIGN PATENT DOCUMENTS Abstract Readily Date Country Inventor Name Document Available MM/YYYY Number Enclose No Enclosed CR WO 01/22995 PCT 04/2001 De Jongh et al A61K OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.) FS DR | Ermak et al, Advanced Drug Delivery Reviews, 34:261-283 (1998) ER | Frey et al, Behring Inst. Mitt. No. 98:376-389 (1997) Wagner et al, Proc. Natl. Acad. Sci. USA, 87:3410-3414 (May, 1990) X Neutra et al. Mucosal Vaccines, "Antigen uptake by M Cells for Effective Mucosal Vaccines", 41-55 (1996) HR Giannasca et al, Infection and Immunity, 65(10):4288-4298 (1997) IR Wu et al, Gene Therapy, 7:61-69 (2000) X Haddad et al, Faseb Journal, 14(6):A1204 (April 20, 2000) JR X KR | Wang et al, Faseb Journal, 14(6):A1204 (April 20, 2000) X Burritt et al, Analytical Biochemistry, 238:1-13 (1996) MR |Li, Nat. Biotechnol., 18(12):1281-1256 (2000) NR |Scott et al, Science, 249:386-390 X de la Cruz et al, The Journal of Biological Chemistry, 283(9):4318 (1968) OR (Abstract) PR Phalipon et al, Eur. J. Immunol, 10:2620 (1997) (Abstract) QR | Pincus et al, J. Immunol., 160(1):293-298 (1998) Grabowska et al, Virology, 269:47-53 (2000) (Abstract) SR | Arnon et al, Immunol. 101:555-62 (2000) (Abstract) TR | Qiu et al, Hybridoma, 18:103-112 (1999) (Abstract) UR Kieber-Emmons et al, J. Immuno., 165:623-627 (2000) VR Shroff et al, Pharm. Sci. Tech. Today, 2:205-212 (1999) WR | Lee et al, Ann. Med., 30:460-468 (1998) XR Van Ginkel et al, Emerg. Infect. Dis., 6(2):123-132 (2000) X Yip et al, Comb. Chem. High Throughput Screen., 2(3):125-38 (June 2, 1999) YR (Abstract) Felici et al, Biotechnol. Annu. Rev., 1:149-83 (1995) (Abstract) 09/22/2006 Date Considered: Examiner /Fereydoun Sajjadi/ Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

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